

# Countable and Uncountable Sets

Class: III B.Sc Maths  
Subject: Real Analysis  
Subject Code: 22SCCMM10

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# COUNTABLE SETS

## Definition:

Two sets  $A$  and  $B$  are said to be equivalent if there exists a bijection of from  $A$  to  $B$ .

## Example 1.

Let  $A = \mathbb{N}$  and  $B = \{2, 4, 6, \dots, 2n, \dots\}$ .

Then  $f: A \rightarrow B$  defined by  $f(n) = 2n$  is a bijection.

Hence  $A$  is equivalent to  $B$  even though  $A$  has actually 'more' elements than  $B$ .

## Example 2.

$\mathbb{N}$  is equivalent to  $\mathbb{Z}$ .

The function  $f: \mathbb{N} \rightarrow \mathbb{Z}$  defined by

$$f(n) = \begin{cases} n/2 & \text{if } n \text{ is even,} \\ (1-n)/2 & \text{if } n \text{ is odd.} \end{cases}$$

is a bijection.

Hence  $\mathbb{N}$  is equivalent to  $\mathbb{Z}$ .

**Definition:**

A set  $A$  is said to be countably infinite if  $A$  is equivalent to the set of natural numbers  $N$ .

$A$  is said to be countable if it is finite or countably infinite.

**Note:**

Let  $A$  be a countably infinite set.

Then there is a bijection of from  $N$  to  $A$ .

Let  $f(1) = a_1, f(2) = a_2, f(n) = a_n, \dots$

Then  $A = \{a_1, a_2, \dots, a_n, \dots\}$ .

Thus all the elements of  $A$  can be labelled by using the elements of  $N$ .

**Example: 1**

$\{2, 4, 6, \dots, 2n, \dots\}$  is a countable set.

**Example: 2**

$Z$  is countable.

**Example 3**

Let  $A = \{1/2, 2/3, 3/4, \dots\}$ .

The function  $f: N \rightarrow A$  defined by  $f(n) = n/(n+1)$  is a bijection.

Hence  $A$  is countable.

### **Theorem 1.**

A subset of a countable set is countable.

### **PROOF:**

Let  $A$  be a countable set and let  $B \subseteq A$ .

If  $A$  or  $B$  is finite, then obviously  $B$  is countable.

Hence let  $A$  and  $B$  be both infinite.

Since  $A$  is countably infinite, we can write  $A = \{a_1, a_2, \dots, a_n, \dots\}$ .

Let  $a_{n_1}$  be the first element in  $A$  such that  $a_{n_1} \in B$ .

Let  $a_{n_2}$  be the first element in  $A$  which follows  $a_{n_1}$  such that  $a_{n_2} \in B$ .

Proceeding like this we get  $B = \{a_{n_1}, a_{n_2}, \dots\}$ .

Thus all the elements of  $B$  can be labelled by using the elements of  $\mathbb{N}$ .

Hence  $B$  is countable.

## **THEOREM 2.**

$\mathbb{Q}^+$  is countable.

### **PROOF:**

Take all positive rational numbers whose numerator and denominator add up to 2.

We have only one number namely  $1/1$ .

Next we take all positive rational numbers whose numerator and denominator add up to 3.

We have  $1/2$  and  $2/1$ .

Next we take all positive rational numbers whose numerator and denominator add up to 4.

We have  $3/1$ ,  $2/2$  and  $1/3$ .

Proceeding like this, we can list all the positive rational numbers together from the beginning omitting those which are already listed.

Thus we obtain the set  $\{1, 1/2, 2, 3, 1/3, 1/4, 2/3, 3/2, 4, \dots\}$ .

This set contains every positive rational number each occurring exactly once.

Thus  $\mathbb{Q}^+$  is countable.

### **THEOREM 3.**

$\mathbb{Q}$  is countable.

#### **PROOF.**

We know that  $\mathbb{Q}^+$  is countable.

Let  $\mathbb{Q}^+ = \{r_1, r_2, \dots, r_n, \dots\}$ .

$\therefore \mathbb{Q} = \{0, \pm r_1, \pm r_2, \dots, \pm r_n, \dots\}$ .

Let  $f: \mathbb{N} \rightarrow \mathbb{Q}$  be defined by  $f(1) = 0$ ,  $f(2n) = r_n$  and  $f(2n-1) = -r_n$ .

Clearly  $f$  is a bijection and hence  $\mathbb{Q}$  is countable.

### **THEOREM 4.**

Product of two countable sets is countable is countable.

#### **PROOF.**

We assume that  $A$  and  $B$  are countably infinite.

Let  $A = \{a_1, a_2, \dots, a_n, \dots\}$ ;  $B = \{b_1, b_2, \dots, b_n, \dots\}$ .

Now define  $f: \mathbb{N} \times \mathbb{N} \rightarrow A \times B$  by  $f(i, j) = (a_i, b_j)$ .

We claim that  $f$  is a bijection.

Suppose  $x = (p, q) \in \mathbb{N} \times \mathbb{N}$  and  $y = (u, v) \in \mathbb{N} \times \mathbb{N}$ .

Now  $f(x) = f(y) \Rightarrow (a_p, b_q) = (a_u, b_v)$

$\Rightarrow a_p = a_u, b_q = b_v$

$\Rightarrow p = u$  and  $q = v$

$\Rightarrow (p, q) = (u, v)$

$\Rightarrow x = y$ .

$\therefore f$  is 1-1.

Now, suppose  $(a_m, b_n) \in A \times B$ .

Then  $(m, n) \in \mathbb{N} \times \mathbb{N}$  and  $f(m, n) = (a_m, a_n)$ .

$\therefore f$  is onto.

Hence  $f$  is a bijection.

Hence  $A \times B$  is equivalent to  $\mathbb{N} \times \mathbb{N}$  which is countable.

### **THEOREM 5:**

Let  $A$  be a countably infinite set and  $f$  be a mapping of  $A$  onto a set  $B$ . Then  $B$  is countable.

### **PROOF:**

Let  $A$  be a countably infinite set and  $f: A \rightarrow B$  be an onto map.

Let  $b \in B$ .

Since  $f$  is onto, there exists at least one pre-image for  $b$ .

Choose one element  $a \in A$  such that  $f(a) = b$ .

Now, define  $g: B \rightarrow A$  by  $g(b) = a$ .

Clearly  $g$  is 1-1.

$\therefore B$  is equivalent to a subset of the countable set  $A$ .

$\therefore B$  is countable.

## THEOREM 6:

Countable union of countable sets is countable.

### PROOF:

Let  $S = \{A_1, A_2, \dots, A_n, \dots\}$  be a countable family of countable sets.

Case (i)

Let each  $A_i$  be countably infinite.

Let  $A_1 = \{a_{11}, a_{12}, \dots, a_{1n}, \dots\}$

$A_2 = \{a_{21}, a_{22}, \dots, a_{2n}, \dots\}$ ....

Now we define a map  $f: \mathbb{N} \times \mathbb{N} \rightarrow \bigcup A_i$  by  $f(i, j) = a_{ij}$ .

Clearly  $f$  is onto.

Also by theorem,  $\mathbb{N} \times \mathbb{N}$  is countably infinite.

Then  $\bigcup A_i$  is countably infinite.

Case (ii)

Let each  $A_i$  be countable.

For each  $i$  choose a set  $B_i$  such that  $B_i$  is a countably infinite set and  $A_i \subseteq B_i$

Then  $\bigcup A_i \subseteq \bigcup B_i$ .

Now,  $\bigcup B_i$  is countable (by case i))

$\therefore \bigcup A_i$  is countable.



## SOLVED PROBLEMS.

Any countably infinite set is equivalent to a proper subset of itself.

### **Solution:**

Let  $A$  be a countably infinite set.

Hence  $A = \{a_1, a_2, \dots, a_n, \dots\}$ .

Let  $B = \{a_2, a_3, \dots, a_n, \dots\}$ .

Clearly  $B$  is a proper subset of  $A$ .

Define a map  $f: A \rightarrow B$  by  $f(a_n) = a_{n+1}$

Clearly  $f$  is a bijection.

Hence  $A$  is equivalent to  $B$ .

Any infinite set contains a countably infinite subset.

**Soln.**

Let  $A$  be an infinite set.

Choose any element  $a_1 \in A$ .

Now, since  $A$  is an infinite set, we can choose another element,  $a_2 \in A - \{a_1\}$ .

Now, suppose we have chosen  $a_1, a_2, \dots, a_n$  from  $A$ .

Since  $A$  is infinite,  $A - \{a_1, a_2, \dots, a_n\}$  is also infinite.

We can choose  $a_{n+1}$  from  $A - \{a_1, a_2, \dots, a_n\}$ .

Now,  $B = \{a_1, a_2, \dots, a_n, a_{n+1}, \dots\}$  is a countably infinite subset of  $A$ .

Any infinite set is equivalent to a proper subset of itself.

### **Solution**

Let  $A$  be an infinite set.

Then,  $A$  contains a countably infinite subset  $B = \{a_1, a_2, \dots, a_n, \dots\}$ .

Clearly,  $A = (A - B) \cup B$ .

Now consider the following subset  $C$  of  $A$  given by  $C = (A - B) \cup \{a_2, a_3, \dots, a_n, \dots\} = A - \{a_1\}$ .

Clearly  $C$  is a proper subset of  $A$ .

Consider the function  $f: A \rightarrow C$  defined by  $f(x) = x$  if  $x \in A - B$  and  $f(a_n) = a_{n+1}$

Obviously  $f$  is a bijection.

Hence  $A$  is equivalent to  $C$ .

# UNCOUNTABLE SETS

## Definition:

A set which is not countable is called an uncountable set.

## Theorem 1:

$(0, 1)$  is uncountable.

## PROOF:

Every real number in  $(0, 1)$  can be written uniquely as a non-terminating decimal  $0.a_1a_2...a_n...$  where  $0 \leq a_i \leq 9$  for each  $i$  subject to the following restriction that any terminating decimal  $0.a_1a_2...a_n000...$  is written as  $0.a_1a_2a_3...(a_{n-1})999....$ ,  $n$

For example  $0.54 = 0.53999...$   $1 = 0.999....$

Suppose  $(0, 1)$  is countable.

Then the elements of  $(0, 1)$  can be listed as  $\{x_1, x_2, ..., x_n, ...\}$  where  $x_1 = 0.a_{11}a_{12}...a_{1n}...$ ,  $x_2 = 0.a_{21}a_{22}...a_{2n}...$ ,  $x_n = 0.a_{n1}a_{n2}....a_{nn}...$

Now, for each positive integer  $n$ , choose an integer  $b_n$  such that  $0 \leq b_n \leq 9$  and  $b_n \neq a_{nn}$ .

Let  $y = 0.b_1b_2b_3....$

Clearly  $y \in (0, 1)$ .

Also  $y$  is different from each  $x_i$  at least in the  $i$ -th place,

Hence  $y \neq x_i$  for each  $i$  which is a contradiction.

$\therefore (0, 1)$  is uncountable.

### **Corollary 1.**

Any subset  $A$  of  $\mathbb{R}$  which contains  $(0, 1)$  is uncountable.

#### **Proof:**

Suppose  $A$  is countable.

Then any subset of  $A$  is countable.

Hence we get  $(0, 1)$  is countable which is a contradiction.

$\therefore A$  is uncountable.

### **Corollary 2.**

$\mathbb{R}$  is uncountable.

The results follow directly by taking  $A = \mathbb{R}$

### **Corollary 3.**

The set 's' of irrational numbers is uncountable.

#### **Proof:**

Suppose  $s$  is countable.

We know that  $\mathbb{Q}$  is countable.

$\therefore S \cup \mathbb{Q} = \mathbb{R}$  is countable which is a contradiction

$\therefore S$  is uncountable.

Thank  
you